# AMENDED IN SENATE JUNE 13, 1996 AMENDED IN SENATE MAY 9, 1996 AMENDED IN ASSEMBLY APRIL 11, 1996

CALIFORNIA LEGISLATURE—1995-96 REGULAR SESSION

# **ASSEMBLY BILL**

No. 2915

# **Introduced by Assembly Member Friedman**

February 22, 1996

An act to add Article 1 (commencing with Section 104145) to Chapter 2 of Part 1 of Division 103 of the Health and Safety Code, and to repeal Section 9 of Chapter 661 of the Statutes of 1993, relating to public health.

### LEGISLATIVE COUNSEL'S DIGEST

AB 2915, as amended, Friedman. Breast cancer research.

Existing law, in an uncodified statute (Sec. 9, Ch. 661, Stats. 1993, as amended by Sec. 2, Ch. 483, Stats. 1994), creates a Breast Cancer Research Program and requests the University of California to administer the program as a comprehensive grant and contract program to support research efforts into the cause, cure, treatment, earlier detection, and prevention of breast cancer. It states intent of the Legislature that the University of California establish a Breast Cancer Research Council with specified membership and duties.

This bill would repeal these uncodified provisions of law and would reenact them, as amended, in a codified statute. It would request the University of California and the council to work in close collaboration with the Breast Cancer Early

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Detection Program, revise provisions governing membership in the council and impose funding eligibility limitations on council members, as specified, and expand the groups of persons who may be recruited for participation in a peer review panel, among other things.

Vote: majority. Appropriation: no. Fiscal committee: no. State-mandated local program: no.

The people of the State of California do enact as follows:

SECTION 1. Article 1 (commencing with Section 104145) is added to Chapter 2 of Part 1 of Division 103 of 3 the Health and Safety Code, to read:

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### Article 1. The Breast Cancer Research Program

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104145. (a) The Legislature hereby requests the 8 University of California to establish and administer the 9 Breast Cancer Research Program, which is created by this 10 act, as a comprehensive grant and contract program to 11 support research efforts into the cause, cure, treatment, 12 earlier detection, and prevention of breast cancer. It is Legislature 13 the intent of the that this principles and organizational 14 incorporate the elements 15 specified in this act, including, but not limited to, a 16 research program office with a director and 17 necessary staff, a Breast Cancer Research Council, and 18 research review panels.

- (b) For the purposes of this section:
- (1) "Breast cancer research" includes, 21 limited to, research in the fields of biomedical science and engineering. social. economic behavioral the and sciences, epidemiology, technology development 24 translation, and public health.
- 25 (2) "Council" means the Breast Cancer Research 26 Council.
- (3) "Grantee" means any qualifying public, private, or 28 nonprofit agency or individual, including, but not limited to, colleges, universities, hospitals, laboratories, research institutions, local health departments, voluntary health

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agencies, health maintenance organizations, corporations, students, fellows, entrepreneurs, individuals conducting research in California.

- (4) "Program" means the Breast Cancer Research Program.
  - (5) "University" means the University of California.

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- (c) It is the intent of the Legislature that this program be administered pursuant to the following principles:
- (1) The university is requested to shall work in close 10 collaboration with the council and seek the consent of the council before taking an action different from the action 12 recommended by the council.
- (2) The council shall develop the strategic objectives 14 and priorities of the program, actively participate in the 15 overall management of the program, and make final 16 recommendations on which research grants should be funded based on the research priorities established for 18 the program and the technical merits of the proposals as determined by peer review panels.
- (3) The program shall fund innovative and creative 21 research, with a special emphasis on research that 22 complements, rather than duplicates, the research 23 funded by the federal government and other entities.
- (4) The university should and the council shall work in **Breast** 25 close collaboration with the Cancer Detection Program.
- (5) All research funds shall be awarded on the basis of 28 the research priorities established for the program and scientific merit of the proposed research, determined by an open, competitive peer review process that ensures objectivity, consistency, and high quality. All investigators, regardless of affiliation, shall have equal access and opportunity to compete for program funds.
- (6) The peer review process for the selection of 35 research grants awarded under this program shall be 36 generally modeled on that used by the National Institutes of Health in its grantmaking process.
- 38 (7) An awardee shall be awarded grants for the full conducting 39 cost. both direct and indirect, of sponsored research consistent with those

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governing all federal research grants and guidelines contracts. All intellectual property assets developed under this program shall be treated in accordance with state and federal law.

- (8) In establishing its research priorities, the council shall consider a broad range of cross-disciplinary breast 6 defined in paragraph research. as subdivision (b), including, but not limited to, research into the cause, cure, and prevention of breast cancer; technological 10 translational and research. research regarding the development and translation of 12 technologies of earlier detection; research regarding the 13 cultural, economic, and legal barriers to accessing the 14 health care system for early detection and treatment of 15 breast cancer; and research examining the link between 16 breast cancer and environmental factors, including both natural and industrial chemicals, estrogen imitators, and 17 electromagnetic fields.
- (d) It is the intent of the Legislature that the 20 university, as lead agency, do all of the following:
  - (1) Establish the Breast Cancer Research Council in accordance with the following:
- (A) The council shall consist of at least 13 and no more 24 than 15 members representing a range of expertise and experience, appointed by the President of the University 26 of California from nominations solicited from and submitted by relevant organizations, as follows: 28 California. Individuals and organizations may submit 29 nominations to the council, and the University shall 30 California solicit nominations from relevant organizations and individuals. The council shall be comprised of the following members:
- (i) Four members from breast cancer survivor and 34 breast cancer-related advocacy groups, including, but not 35 limited to, the California Breast Cancer Organizations 36 (CABCO).
- (ii) Four members drawn from the ranks of scientists 38 or clinicians, including one from independent an research university in California. The scientists shall have covering the expertise various fields of

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endeavor, including, but not limited to, the fields of biomedical research and engineering, social, economic, 3 behavioral research, epidemiology, and public and 4 health.

nonprofit (iii) Two members from health organizations with a commitment to breast cancer research and control.

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- (iv) One member who is a practicing breast cancer medical specialist.
- from private (v) Two members industry with demonstrated commitment to breast cancer research and control, including, but not limited to, entrepreneurs, or persons from the science or high technology industry or persons from the health care sector.
- (vi) One ex officio, nonvoting member from the 16 Breast Cancer Early Detection Program.
- (B) If the president appoints more than 13 members, 18 it is the intent of the Legislature that the proportional representation remain substantially the same as set forth 20 in subparagraph (A).
- (C) Members shall serve without compensation, but 22 may receive reimbursement for travel 23 necessary expenses actually incurred in the performance 24 of their official duties. Any member of the Breast Cancer 25 Research Council shall be ineligible to apply for or 26 receive funding for breast cancer research from the 27 Breast Cancer Research Program during his or 28 three-year term of service on the council, whether or not served in full.
  - (D) Membership shall be staggered in such a way as to maintain a full council while ensuring a reasonable degree of continuity of expertise and consistency of direction.
- 34 (2) Provide overall coordination of the program.
- 35 (3) Provide staff assistance to the program and 36 council.
- (4) Develop administrative procedures relative to the 37 38 solicitation, review, and awarding of grants to ensure an impartial, high quality peer review system.

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(5) Recruit and supervise research review panels. The membership of these panels shall vary depending on the matter of the proposals and the review requirements, and shall draw on the most qualified 5 individuals. The work of the review panels shall be administered pursuant to policies and procedures 6 established for the implementation of the program. In order to avoid conflicts of interest and to ensure access to 9 qualified reviewers, it is the intent of the Legislature that 10 the university utilize reviewers from outside the state unless reviewers with expertise in particular research grant proposals are only available within the state. When 12 qualified reviewers, the university may utilize reviewers 14 not only from California but also from outside the state. panels. serving review 15 When on institutions. 16 corporations, or individuals who have submitted grant 17 applications for funding by this program 18 governed by conflict-of-interest provisions with the National Institutes of Health Manual (Chapter 20 4510 (item h)), and any applicable conflict-of-interest 21 provisions in state law. 22

- (6) Provide for periodic program evaluation to ensure that research funded is consistent with program goals.
- (7) Maintain a system of financial reporting and 25 accountability.
- (8) Provide systematic dissemination for the of 27 research results to the public and the health care 28 community, and provide for a mechanism to disseminate 29 the most current research findings in the areas of cause, detection, and prevention of 30 treatment, earlier cure, breast cancer, in order that these findings may be applied to the planning, implementation, and evaluation of the breast cancer-related programs of the State Department 34 of Health Services, including the Breast Cancer Early 35 Detection Program authorized by this act.
- (9) Develop policies and procedures to facilitate the 37 translation of research results into commercial, alternate 38 technological, and other applications wherever appropriate and consistent with state and federal law.

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(10) Transmit annually on or before each December 31, a report to the Legislature on grants made, grants in progress, program accomplishments, and future program directions. Each report shall include, but not be limited to, the following information:

- (A) The number and dollar amounts of research grants, including the amount allocated to indirect costs.
  - (B) The subject of research grants.

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- (C) The relationship between federal and state 10 funding for breast cancer research.
  - (D) The relationship between each project and the overall strategy of the research program.
- (E) A summary of research findings including 14 discussion of promising new areas.
- (F) The institutions and campuses receiving grant 16 awards.

In addition, the first annual report shall include an 18 evaluation and recommendations concerning desirability and feasibility of requiring for-profit grantees 20 to compensate the state in the event that a grant results 21 in the development of a profit-making product. This evaluation shall include, but not be limited to, the costs and benefits of requiring a for-profit grantee to repay the grant, to provide the product at cost to Medi-Cal and 25 other state programs serving low-income breast cancer patients, and to pay the state a percentage of the royalties derived from the product.

- (e) It is the intent of the Legislature that no more than 5 percent of the allocation to the university be used for the purposes of administration of this program.
- (f) It is the intent of the Legislature that the 32 responsibilities of the council shall include, but not be limited to, the following:
  - (1) Development and review of the strategic objectives and research priorities of the program.
- (2) Delineation of resource allocation across the 37 various priorities established for the program.
- 38 (3) Participation in periodic program and financial the report transmitted pursuant review, including paragraph (10) of subdivision (d).

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(4) Development and review of guidelines to ensure fairness, neutrality, and adherence to the principles of merit and quality in the conduct of the program.

- (5) Development of appropriate linkages to nonacademic entities, including, but not limited voluntary organizations, health care delivery industry, government agencies, research entrepreneurs. and public officials.
- (6) Development and review of criteria and standards 10 for granting awards.
  - (7) Oversight and review of the request for applications (RFA).
- (8) Review of research review panel reports and 14 recommendations for grant awards.
- (9) Making of final recommendations on which grants 16 are to be awarded.
- (10) Development review and of oversight 18 mechanisms for the dissemination of research results.
  - (11) Development and review of policies and liaison programs to facilitate the translation of research results commercial. alternate technological, other applications wherever appropriate.
- (12) Establishment of its own internal rules of 24 operation.
- (13) Participation the identification in and 26 recruitment of breast cancer advocates and survivors, clinicians, scientists, and persons from the science, high technology, or health care sector with relevant expertise for possible participation in a peer review panel. The 30 council may propose to assign a member of the council to sit as a nonvoting member of the peer review panels.
- SEC. 2. Section 9 of Chapter 661 of the Statutes of 32 1993, as amended by Section 2 of Chapter 483 of the 33 34 Statutes of 1994, is repealed.